

SRE0001-US

PATENT

8-22-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

MARTIN KRAEHENBUEHL ET AL.

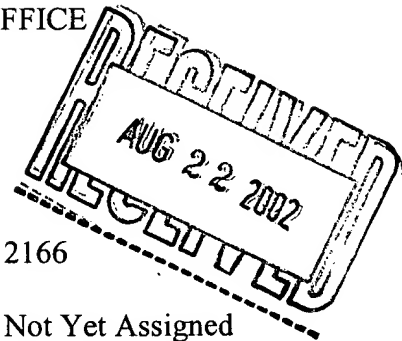
Serial No.: 09/967,045

Filed: October 1, 2001

For: ON-LINE REINSURANCE CAPACITY
AUCTION SYSTEM AND METHOD

Art Unit: 2166

Examiner: Not Yet Assigned



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INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No.

SRE0001-US and proceed to consider this Information Disclosure Statement.

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GROUP 3600

Serial No.: 09/967,045
Art Unit: 2166
Attorney's Docket No.: SRE0001-US

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.


This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

Respectfully submitted,

MARTIN KRAEHLBUEHL et al.

By:


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Date: **August 16, 2002**

Document #: 1199479 v.1

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

SRE0001-

Application Number

09/967,045

Applicant(s)

MARTIN KRAEHENBUEHL et al.

Filing Date

October 1, 2001

Group Art Unit

2166

*EXAMINER

INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Article entitled "Questions of Reinsurance" A Short Guide 1998

Article entitled "An Introduction to Rating Casualty Business" by Ronald Grunig et al. 2000

ManageReinsurance User Guide version 1.1 December 5, 2001

Article entitled "An Introduction to Reinsurance" 1996

Insurance and Reinsurance Industry Business Methods and Software Intellectual Property Prior Art, December 2001

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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.